



[www.sidc.com.my](http://www.sidc.com.my)

## **COMPLIANCE PROFESSIONAL TRAINING SCHEME (CPTS)**

Benefit from  
a **Purposeful Career**  
in **Compliance**





## COMPLIANCE PROFESSIONAL TRAINING SCHEME (CPTS)

---

### Benefit from a Purposeful Career in Compliance

---

Are you seeking a long-term career with a clear career path and having skills which are in high demand? Do you want to have skillsets and competencies that are transferable across industries? Embark on a purposeful career in Compliance, the ethical heart of an organisation.

Join the **Compliance Professional Training Scheme (CPTS)**, where you will be equipped with the right knowledge and competencies and be certified.

Get certified and start your journey as a compliance professional!





# Programme Overview

## COMPLIANCE PROFESSIONAL TRAINING SCHEME

**Compliance Professional Training Scheme (CPTS)** is designed for individuals who intend to embark on a career in compliance.

Gain the foundational understanding and practical skills to confidently enter the compliance field. Master essential capital market regulations, anti-money laundering (AML) practices, corporate governance principles, and ethical considerations. Enhance employability and unlock exciting career advancement opportunities in the rapidly growing compliance sector.



# CPTS ROADMAP

## ENROLMENT



## E-LEARNING



- 01 | Essentials of Capital Market Regulations: Intermediaries & Conduct
- 02 | Essentials of Capital Market Regulations: AMLA
- 03 | Essentials of Compliance
- 04 | Essentials of Customer Service: Know Your Client
- 05 | Compliance, Corporate Governance Risk and Ethic
- 06 | Introduction to Capital Markets: Economics, Products & Services
- 07 | Securities Trading, Derivatives Dealing and Settlement, and Client Advisory In Malaysia (Part 1, 2 & 3)
- 08 | Fundamentals of Fund Management and Client Advisory in Malaysia

## BEHAVIOURAL MODULES

### MODULE 01



Insights into the Compliance Role and Essential Skillsets

### MODULE 02



Thinking & Communication Skills for Compliance Officers

### MODULE 03



Networking & Relationship Building in Compliance



## COLLABORATIVE LEARNING


## SC LICENSING EXAMS

## COMPLETE CPTS AND CCMP - COMPLIANCE 1 CERTIFICATION



ESTIMATED DURATION TO COMPLETE - **CCMP - COMPLIANCE 1**: 2 MONTHS




A photograph of two women in professional attire standing on a balcony with a metal railing. The woman on the left is smiling and looking towards the camera, while the woman on the right is looking down at a document she is holding. They are both holding folders and papers. The background shows greenery and a building.

## COMPLIANCE PROFESSIONAL TRAINING SCHEME (CPTS)

### What will you gain from CPTS?

- Access to regulatory and technical e-learning modules
- Attend specially curated behavioural modules by industry experts / leaders
- Participate in collaborative learning through case studies and real-life scenarios
- Sit for the relevant SC Licensing Examinations for Compliance
- Be certified! Upon passing the relevant assessments, you will be awarded the Certified Capital Market Professional (CCMP) - Compliance 1





## COMPLIANCE PROFESSIONAL TRAINING SCHEME (CPTS)

---

### Recommended Entry Requirements

---

#### Education

- Have at least a degree with CGPA of 3.0, 70% or at least Second-Class upper degree or equivalent from a recognised institution
- Background in Accounting, Banking, Economics, Finance and Legal

#### Work Experience

- Fresh graduates/Graduates not more than 30 years old

#### Personality Traits, Skills and Knowledge

- Intermediate level verbal and written English communication
- Strong analytical skills
- Strong leadership skills
- Intellectual curiosity
- Good judgement
- Passion in capital market compliance
- At least intermediate level of verbal and written English
- Ability to work well independently and in a team under pressure

For enquiries on registration, please contact: **+603 6204 8439 / 8274**

---

Register today at **www.sidc.com.my**

---

Get in touch and speak to our friendly team:

**Nor Effendi Othman** | +6012 248 2356 | EffendiO@sidc.com.my

**Nor Asmawar Hamzah** | +6017 984 7787 | AsmawarH@sidc.com.my

**Wan Mohd Farid Wan Mohd Kamil** | +6012 641 7589 | FaridK@sidc.com.my

**Hanis Mazelan** | +6010 543 7702 | HanisM@sidc.com.my



www.sidc.com.my

**Securities Industry Development Corporation** (765264K)  
3, Persiaran Bukit Kiara, Bukit Kiara, 50490 Kuala Lumpur, Malaysia  
Email: sidc@sidc.com.my Website: www.sidc.com.my



Find us on Youtube, LinkedIn, Facebook & Instagram at Securities Industry Development Corporation - SIDC

*\*The SIDC reserves the right to amend the programme as deemed appropriate as without prior notice.*